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# Modeling hourly and daily fractions of UV, PAR and NIR to global solar radiation under various sky conditions at Botucatu, Brazil

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### 1. Introduction

# The knowledge of ultraviolet $(0.29-0.385 \ \mu m)$ , photosynthetically active $(0.385-0.7 \ \mu m)$ and near infrared $(0.7-3.0 \ \mu m)$ spectral components of global solar radiation (G) at the surface becomes an imperative requirement in different technological and scientific applications of solar radiation information.

Ultraviolet radiation has been used in cleaning processes of photodecomposition of organic residues present in contaminated industrial waters [1]; photo degradation of plastics; dye, natural and synthetic fibers [2]. UV exposition is strongly correlated to cataract and skin cancer [3]. Knowing how UV component of solar radiation behaves at the surface is also important to estimate tropospheric trace gas reactions in polluted areas [4] and to reduce the uncertainty in the in- and out-door chamber reactions of atmospheric pollutants [5].

The resultant effects of sky conditions, water vapour, aerosol load and ozone amount on the UV radiant flux has been extensively investigated through ground observations [6-22] that in turn reveal that the linear correlation between UV versus global solar radiation (G). Ground UV and G measurements grouping into

### ABSTRACT

In this analysis, using available hourly and daily radiometric data performed at Botucatu, Brazil, several empirical models relating ultraviolet (UV), photosynthetically active (PAR) and near infrared (NIR) solar global components with solar global radiation (G) are established. These models are developed and discussed through clearness index  $K_T$  (ratio of the global-to-extraterrestrial solar radiation). Results obtained reveal that the proposed empirical models predict hourly and daily values accurately. Finally, the overall analysis carried out demonstrates that the sky conditions are more important in developing correlation models between the UV component and the global solar radiation. The linear regression models derived to estimate PAR and NIR components may be obtained without sky condition considerations within a maximum variation of 8%. In the case of UV, not taking into consideration the sky condition may cause a discrepancy of up to 18% for hourly values and 15% for daily values.

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hourly, daily monthly and annual data sets revealed that the fraction of UV radiant flux to global solar radiation ranges between 2% and 9.4% over several localities worldwide.

The smallest values of UV fractions can be attributed to the scattering effect aerosol and gases presents in the atmosphere. According to the Rayleigh theory, the intensity of scattered energy is directly proportional to the inverse fourth power of the wavelength. Therefore, UV is more affected by scattering than G. Besides, absorption of UV by particulate matter produced by dust, air pollution events and episodic tropospheric ozone high concentrations in urban areas may also further deplete more UV than G. Indeed, observations indicated that atmospheric dust always reduces more UV than G. Elhadidy et al. [7] reported UV/ G values ranging from 2.1% to 4.5% in Dhahran (Saudi Arabia); Khogali and Al-Bar [8] found values ranging from 2.8% to 4.3% in Makkah (Saudi Arabia); Robaa [9] reported fractions ranging from 2.2% to 2.7% for polluted air and between 3.2% and 3.5% for unpolluted areas of Cairo (Egypt). The observations carried out in Kuwait by Al-Aruri et al. [10] yields UV/G ranging from 4.3% to 5.2%. In this case, there is no apparent reason for the high UV/G values in Kuwait since dust is the major source of particulate matter and the climate is very similar (low relative humidity and predominance of cloudless days) to the previously mentioned studies.



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### Nomenclature

	global solar radiation ultraviolet solar radiation photosynthetically active solar radiation near infrared solar radiation global solar irradiance at the surface (W m <sup>-2</sup> ) ultraviolet solar irradiance at the surface solar at the surface (W m <sup>-2</sup> ) photosynthetically active solar irradiance at the surface (W m <sup>-2</sup> ) near infrared solar irradiance at the surface (W m <sup>-2</sup> ) clearness index hourly value of clearness index daily value of clearness index hourly value of global solar irradiance at the surface (MJ m <sup>-2</sup> ) hourly value of UV component of solar irradiance at the surface (MJ m <sup>-2</sup> ) hourly value of NIR component of solar irradiance at the surface (MJ m <sup>-2</sup> ) daily value of UV component of solar irradiance at the surface (MJ m <sup>-2</sup> ) daily value of UV component of solar irradiance at the surface (MJ m <sup>-2</sup> ) daily value of UV component of solar irradiance at the surface (MJ m <sup>-2</sup> ) daily value of UV component of solar irradiance at the surface (MJ m <sup>-2</sup> )	$H_{PAR}^{d}$ $H_{NIR}^{d}$ $B_{UV}^{h}$ $B_{PAR}^{h}$ $B_{UV}^{h}$ $B_{OV}^{h}$	daily value of PAR component of solar irradiance at the surface (MJ m <sup>-2</sup> ) daily value of NIR component of solar irradiance at the surface (MJ m <sup>-2</sup> ) slope of the best-fit straight line for hourly UV data slope of the best-fit straight line for hourly PAR data slope of the best-fit straight line for hourly NIR data slope of the best-fit straight line for daily UV data slope of the best-fit straight line for daily VV data slope of the best-fit straight line for daily NIR data slope of the best-fit straight line for daily NIR data slope of the best-fit straight line for daily NIR data mean bias error root mean square error index of agreement coefficient of determination extraterrestrial solar radiation solar flux at the top of the atmosphere actual Sun–Earth distance average distance between the Sun and the Earth average solar constant solar zenith angle slope of the best-fit straight line considering sky condi- tions slope of the best-fit straight line without sky condition consideration
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On the other hand, the largest values of UV/G occur in the presence of clouds. The main reason for this is that clouds are associated with high contents of water vapour in the atmosphere that, in turn absorbs more G than UV increasing UV/G. For instance, it was observed in Kwangju, South Korea, UV/G fractions between 7.0% and 9.4%, reflecting the large content of water vapour of the Kwanju (Ogunjobi and Kim [11]). Comparatively, the UV/G fractions found in the Mediterranean region by Cañada et al. [12] and Foyo-Moreno et al. [13] are smaller. The UV/G fraction values observed in Spanish cities – between 4.4% and 5.6% (Valencia), 3.9% and 4.4% (Córdoba) and 3.0% to 5.0% (Granada) – were closed linked to the relative humidity variations.

Photosynthetically active radiation plays an essential role in the photosynthesis, being fundamental for physiological processes in the agronomic and forest areas, related mainly to the characterization and morphology of plants [23], estimating of plant interaction and competition [24] and documenting temporal variations in the canopy structure [25] and in the assessment of crop productivity.

In the case of the PAR component, most of these works indicated that the presence of cloud increases PAR fraction of the global radiation (PAR/G) [26–43]. As in UV band, higher fraction (PAR/ G) values are likely to be associated with water vapour absorption process that in turn alters significantly more the global solar radiation leaving unaltered the PAR portion of the spectrum. Scattering caused by aerosol is a secondary effect in PAR [44].

Despite the important interaction with water vapour, near infrared solar radiation at the surface is less investigated compared with UV and PAR. The NIR properties at the top of the atmosphere are well known due to the application in remote sensing technique to retrieve the total column water vapour amount [45]. The NIR radiation represents a large amount of the total energy of the solar spectrum, around 46.5% in Brazil [46] and 51.8% in Tibet Plateau [47].

The near infrared radiation is strongly absorbed by water vapour and its interaction with cloud is not very well documented observationally [48]. Most of the work related to the NIR is based on modeling [49,50]. The objective of this work is to develop and validate empirical expressions to estimate hourly and daily values of UV, PAR and NIR in terms of G measured at surface considering only the effect of clouds. This could be particularly relevant in tropical and sub-tropical regions, like Brazil, where cloud plays an important role in the climate.

Here, cloud effect on solar radiation will be taking into consideration assuming sky conditions objectively specified in terms of four intervals of clearness index ( $K_T$ ): (i) cloudy ( $K_T \le 0.35$ ); (ii) partially cloudy with predominance of diffuse component of the solar radiation (0.35 <  $K_T \le 0.55$ ); (iii) partially cloudy with predominance of direct component of the solar radiation (0.55 <  $K_T \le 0.65$ ) and (*iv*) clear sky ( $K_T \le 0.65$ ).

The methodology developed here to classify sky condition – based on the use of simultaneous measurements of global, diffuse and direct solar radiation – is a new method that combines all the available methodology and yields a classification procedure more objective than the other methods available in the literature. For instance, Iqbal [51] used the clearness index to classify the sky condition; Rao [6] categorized the sky in terms of fractional sunshine, whereas Perez et al. [52], Alados et al. [36] and Jacovides et al. [42,43], employed the sky clearness and brightness.

A second novelty in this work is that for the first time 5-year long series of simultaneous measurement of G, UV and NIR is used to determine UV, PAR and NIR fractions of global solar radiation and to develop regression models considering the four sky classification mentioned above.

### 2. Site and measurements

The data used in this work was measured at the radiometric station, at 22°53'S of latitude and 48°26'W of longitude, located in the rural area of Botucatu city, in the country side of State of São Paulo, Brazil (Fig. 1a). Botucatu, a city with 119.3 thousand habitants, is located in the countryside of Brazil, at 786 m above the mean sea level, and approximately 221 km far from the Atlantic Ocean (Fig.

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Fig. 1. Geographic position of the (a) State of São Paulo; (b) Botucatu and (c) view of the NW quadrant of the Radiometric Station in Botucatu, State of São Paulo, Brazil.

1b). It is characterized by mild cold and dry winter (June–August) and by warm and wet summer (December–February). The averaged air temperature varies from a minimum of 16.5 °C in the winter to a maximum of 23.9 °C in the summer. The minimum precipitation occurs in August and the maximum in January [53].

The radiometric station of Botucatu (Fig. 1c) belongs to the School of Agronomic Sciences, State University of São Paulo, and it has been monitoring since 2001, continuously and simultaneously global solar radiation, ultraviolet solar radiation and near infrared solar radiation at the surface.

The global solar irradiance ( $I_{\rm G}$ ) was measured by an Eppley pyranometer, model *PSP* (0.3–3 µm). The ultraviolet portion of solar irradiance ( $I_{\rm UV}$ ) was measured by Kipp-Zonen pyranometer, model CUV-3 (0.29–0.39 µm). The near infrared portion of solar irradiance ( $I_{\rm NIR}$ ) was measured by an Eppley pyranometer, model *PSP*, with a filter that allows transmission of solar radiation only between 0.7 and 3.0m. Following the manufacture recommendation the calibration factor used in the filtered pyranometer was multiplied by 0.92 to correct effects of filter transmission on the sensor. Calibration of solar radiation sensors were performed every two years using procedure recommended by OMM [54].

Photosynthetically active radiation ( $I_{PAR}$ ) values used in this analysis were determined indirectly through the following equation:  $I_{PAR} = I_G - (I_{UV} + I_{NIR})$  Here, the PAR unit is the same as the UV, NIR and G, in W m<sup>-2</sup> for irradiance, and in MJ m<sup>-2</sup> for daily and hourly irradiances. Most published PAR values are expressed in photo-biological units ( $\mu E m^{-2}$  or  $\mu mol m^{-2} s$ ) giving the number of photons that fall in the spectral interval 0.4–0.7  $\mu m$ . To comparing PAR values obtained here against those reported in the literature, when necessary, McCree's [55] conversion factor of 4.57  $\mu E J^{-1}$  was used.

From 2001 to 2005, three pyranometers were operating continuously using a data acquisition system Datalogger Campbell, model *CR23X*. The measurements were sampled with frequency of 1 Hz and 5-min averaged values were performed of all three irradiances. Data was inspected and suspicious values were removed from the series. Due to the sensor or the data acquisition system malfunctions, it was removed 1 day in 2001, 15 days in 2002, 6 days in 2003, 80 days in 2004 and 37 days in 2005. Observations carried out between 0530 local time (LT) and 0730 LT and between 1730 LT and 1930 LT were also removed from the data set due to blocking effects caused by obstacles near to the horizon (Fig. 1c). It was removed 1431 h of observations carried out in 2001, 1441 h in 2002, 1420 h in 2003, 1771 h in 2004 and 1459 h in 2005.

Hourly and daily values of solar radiant fluxes used in this analysis are indicated as  $H_{\chi}^{y}$ ; here, subscript implies respective spectral solar component, whereas superscript gives integrated time interval. For example,  $H_{G}^{h}$ ,  $H_{UV}^{h}$ ,  $H_{PAR}^{h}$  and  $H_{NIR}^{h}$  denote hourly values and  $H_{G}^{d}$ ,  $H_{UV}^{d}$ ,  $H_{PAR}^{d}$  and  $H_{NIR}^{d}$  denote daily values of solar radiant fluxes, respectively.

Hourly and daily values were estimated for the entire period of 5 years (2001–2005). To develop the empirical expressions to estimate UV, PAR and NIR components of solar radiation it was used data from 2001 to 2004. Validation of all expressions was carried out using data from 2005.

The extraterrestrial solar radiation used in the evaluation of the clearness index was estimated by  $G_{top} = -I_0 \cos Z$ , with  $I_0$  being the solar flux at the top of the atmosphere given by  $I_0 = (\overline{D}/D)^2 S_0$ , where  $\overline{D}$  is the average distance between the Sun and the Earth, D is the actual Sun–Earth distance,  $S_0$  is the average solar constant assumed equal to 1366 W m<sup>-2</sup> [56] and Z is the solar zenith angle calculated according to Iqbal [51].

### 3. Results and discussion

### 3.1. Sky condition classification

Prior to the analysis of the correlation results between the spectral UV, PAR and NIR bands and the global solar radiation, it is constructive to categorize the sky conditions at the Botucatu site. For

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that purpose, as in [57–58], the hourly clearness index  $K_T^h$  is evaluated, based on the continuous observations (1995–2003) of global, diffuse [59] and direct solar beam components. Keeping in mind the above, four sky categories have been considered here:

Interval i:  $K_T^h \leq 0.35$  and direct component of the global solar radiation at the surface is practically zero. Therefore, global and diffuse solar radiations are equal and the sky condition is defined as totally covered cloud or cloudy sky;

Interval ii:  $0.35 < K_T^h \le 0.55$ . The global solar radiation at the surface is composed by a fraction of diffuse component that is larger than the fraction of direct component, and the diffuse fraction is decreasing with  $K_T^h$ . The upper limit of this interval is set where diffuse equals direct component of the solar radiation (approximately at 200 W m<sup>-2</sup> and at  $K_T^h = 0.55$ ). In this case, the sky condition is defined as partially cloudy with predominance of diffuse component of the solar radiation field is predominantly composed by diffuse radiation;

Interval iii:  $0.55 < K_T \ge 0.65$ . The global solar radiation at the surface is composed by a fraction of diffuse component that is smaller than the fraction of direct component and the diffuse fraction is decreasing with  $K_T$  until 0.65, considered as the end of the partially cloudy interval [51]. In this case, the sky condition is partially cloudy with predominance of direct component of the solar radiation because the direct beam predominantly composes the radiation field;

Interval iv:  $K_T^h > 0.65$ . The global solar radiation at the surface is composite by direct component of solar radiation and the diffuse contribution is very small, indicating that there is no significant cloud cover. In this case the sky condition is clear sky.

Fig. 2 indicates the block-averaged curves for global, direct and diffuse solar radiation in terms of  $K_T^h$ . These curves were obtained by dividing the variation interval of  $K_T^h$  (0–1) into 100 sub-intervals and block-averaging values of global, direct and diffuse solar radiations for each sub-interval of  $K_{T_i}^h$ . The choice of the subinterval size of 0.01 was obtained by trail-and-error, compromising the smoothest curve and the statistical significance associated to the number of values in each sample for all three solar radiation components.

Considering the frequency distribution diagram of hourly values of  $K_T^h$  (Fig. 3a), from 12,569 hourly values of G, about 2566 values were located within *i* interval, 2525 values within *ii* interval;



**Fig. 2.** Block-average values of global, diffuse and direct components of solar radiation, at the surface, in terms of clearness index intervals. Vertical dashed lines define regions of  $K_T$  values associated to sky condition and identified by *i* (cloudy sky), *ii* (partially cloudy with predominance of diffuse component of the solar radiation), *iii* (partially cloudy with predominance of direct component of the solar radiation), *iii* (clear sky). Based on hourly values observed continuously in Botucatu from 1995 to 2003.



**Fig. 3.** Frequency distribution of (a) hourly and (b) daily values of clearness index for Botucatu. It was considered 1359 days (12,565 h) of observations between January 1, 2001 and December 31, 2004. Vertical dashed lines define regions of  $K_{\rm T}$  values associated to the sky conditions.

2128 within *iii* interval and 5350 values within *iv* interval. Similarly, the frequency distribution diagram of daily values of  $K_{\rm T}^{\rm T}$  (Fig. 3b) from a total of 1359 days shows that 217 days is within *i* interval; 215 days in *ii* interval; 271 days in *iii* interval and 490 days in *iv* interval.

The models developed in this work are based on the correlation between hourly and daily values of  $H_{\rm UV}$ ,  $H_{\rm PAR}$  and  $H_{\rm NIR}$  and hourly and daily values of  $H_{\rm G}$ . They were developed by linear regression, passing through the origin, considering the sky condition given by the different intervals of  $K_{\rm T}$ .

Tables 1 and 2 indicate the statistical properties of UV, PAR and NIR considering hourly and daily values, respectively, for the four sky conditions given by the four intervals of  $K_T$ . There, the number of hours and days refers to the total period of time when simultaneous measurements of global solar radiation and its three components were available in Botucatu. For reference, it was included in Tables 1 and 2 the linear expressions and determination coefficients for hourly and daily values estimated not considering sky conditions. These expressions are valid for Botucatu for  $0 \leq K_T \leq 1$ . All the statistics shown here were performed using data from 2001 to 2004.

### 3.2. Hourly values

In general, the hourly fractions of UV and PAR components to global solar radiation, namely (UV/G) and (PAR/G), increase as sky conditions changed from clear to overcast; by contrast, the

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### Table 1

Statistical properties of hourly values of G, UV, PAR and NIR considering the four sky conditions in terms of hourly values of clearness index

Clearness index interval	Radiation component	Fraction of G (%)	Maximum (MJ $m^{-2}$ )	Number of hours
$K_{\rm r}^h \leqslant 0.35$	G	-	1.92	2566
	UV	5.03	0.09	2566
	PAR	51.74	1.03	2566
	NIR	43.23	0.81	2566
$0.35 < K_{ au}^h \leqslant 0.55$	G	-	2.76	2525
	UV	4.43	0.13	2525
	PAR	49.29	1.57	2525
	NIR	46.28	1.29	2525
$0.55 < K_{ extsf{T}}^h \leqslant 0.65$	G	-	3.27	2128
·	UV	4.11	0.15	2128
	PAR	48.82	1.78	2128
	IV	47.07	1.53	2128
$K_{\mathrm{T}}^{h} > 0.65$	G	-	4.14	5350
	UV	4.04	0.18	5350
	PAR	49.00	2.18	5350
	NIR	46.96	1.97	5350
$0 \leqslant K_{\mathrm{T}}^h \leqslant 1$	G	-	4.14	12,569
	UV	4.190	0.18	12,569
	PAR	49.24	2.18	12,569
	NIR	46.65	1.97	12,569

Values observed in Botucatu between 2001 and 2005.

### Table 2

Statistical properties of daily values of G, UV, PAR and NIR considering the four sky conditions in terms of daily values of clearness index

Clearness index interval	Radiation component	Fraction of G (%)	Mean (MJ m <sup>-2</sup> )	Standard deviation (%)	Minimum (MJ m <sup>-2</sup> )	Maximum (MJ m <sup>-2</sup> )	Number of days
$K_{\mathrm{T}}^{d} \leqslant 0.35$	G	_	8.06	40.98	1.05	14.73	217
	UV	4.86	0.39	39.41	0.06	0.72	217
	PAR	51.48	4.15	39.80	0.63	7.42	217
	NIR	43.66	3.52	42.97	0.35	6.69	217
$0.35 < K_{\mathrm{T}}^d \leqslant 0.55$	G	-	16.37	24.33	1.10	33.08	315
	UV	4.41	0.72	25.49	0.04	1.39	315
	PAR	49.57	8.11	24.75	0.52	16.00	315
	NIR	46.02	7.53	23.97	0.54	15.69	315
$0.55 < K_{\mathrm{T}}^d \leqslant 0.65$	G	-	20.34	22.19	12.53	41.90	337
·	UV	4.12	0.84	25.38	0.48	1.46	337
	PAR	48.90	9.95	23.04	6.03	19.78	337
	NIR	46.98	9.56	21.31	5.87	20.65	337
$K_{ m T}^{d} > 0.65$	G	-	21.14	23.03	10.11	32.49	490
•	UV	3.95	0.84	26.87	0.12	1.29	490
	PAR	48.49	10.25	23.84	5.04	15.73	490
	NIR	47.56	10.05	22.14	4.69	15.55	490
$0 \leqslant K_{\mathrm{T}}^d \leqslant 1$	G	-	17.70	35.69	1.05	41.90	1359
	UV	4.17	0.74	34.70	0.04	1.29	1359
	PAR	49.06	8.68	35.29	0.52	19.78	1359
	NIR	46.77	8.28	36.52	0.35	20.65	1359

Values observed in Botucatu between 2001 and 2005.

fraction (NIR/G) decreases with sky conditions changing from clear to overcast.

The maximum hourly values of G, UV, PAR and NIR can be interpreted as the amplitude of the diurnal evolution and they increase as the cloudy cover decreases (Table 1).

The statistical properties of hourly values of PAR and NIR regardless the sky conditions are similar to the ones observed for sky condition partially cloudy with predominance of diffuse component of the solar radiation (Table 1).

### 3.3. Daily values

In general, as the cloud cover increases, the UV and PAR fractions of G, based on daily values, increase and the NIR fraction of G decreases (Table 2).

The variation of the daily amplitude, given by the difference between maximum and minimum daily values of UV, PAR and NIR, follows the pattern presented by the hourly values (Section 3.2).



**Fig. 4.** Daily values of **G**, UV, PAR and NIR observed, at the surface, in Botucatu from 2001 to 2005. Vertical dashed lines define regions of  $K_T$  values associated to the sky conditions.



Fig. 5. Dispersion diagram of (a)-(c) hourly and (d)-(f) daily values of UV, PAR and NIR components of solar radiation at the surface in terms of global solar radiation, considering the four categories of clearness index.

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Expressions to estimate hourly and daily values of UV, PAR and NIR in terms of G and the respective determination coefficient considering the four sky intervals of  $K_{T}$ 

Clearness index interval	Hourly values		Daily values	
	Expression	$R^2$	Expression	R <sup>2</sup>
$K_{\rm T} \leqslant 0.35$	$\begin{array}{l} H^{h}_{\rm UV} = 0.049 H^{h}_{\rm G} \\ H^{h}_{\rm PAR} = 0.510 H^{h}_{\rm G} \\ H^{h}_{\rm NIR} = 0.041 H^{h}_{\rm G} \end{array}$	0.9855 0.9947 0.9967	$\begin{array}{l} H^{d}_{\rm UV} = 0.048 H^{d}_{\rm G} \\ H^{d}_{\rm PAR} = 0.512 H^{d}_{\rm G} \\ H^{d}_{\rm NIR} = 0.440 H^{d}_{\rm G} \end{array}$	0.9699 0.9925 0.9899
$0.35 < K_{\mathrm{T}} \leqslant 0.55$	$\begin{array}{l} H^{h}_{\rm UV} = 0.045 H^{h}_{\rm G} \\ H^{h}_{\rm PAR} = 0.495 H^{h}_{\rm G} \\ H^{h}_{\rm NIR} = 0.461 H^{h}_{\rm G} \end{array}$	0.9622 0.9978 0.9965	$\begin{array}{l} H^{d}_{\rm UV} = 0.0044 H^{d}_{\rm G} \\ H^{d}_{\rm PAR} = 0.496 H^{d}_{\rm G} \\ H^{d}_{\rm IR} = 0.460 H^{d}_{\rm G} \end{array}$	0.9622 0.9938 0.9907
$0.55 < K_{\mathrm{T}} \leqslant 0.65$	$\begin{array}{l} H^{h}_{\rm UV} = 0.042 H^{h}_{\rm G} \\ H^{h}_{\rm PAR} = 0.490 H^{h}_{\rm G} \\ H^{h}_{\rm IR} = 0.469 H^{h}_{\rm G} \end{array}$	0.9839 0.9966 0.9945	$\begin{array}{l} H^{d}_{UV} = 0.042 H^{d}_{G} \\ H^{d}_{PAR} = 0.490 H^{d}_{G} \\ H^{d}_{IR} = 0.469 H^{d}_{G} \end{array}$	0.9731 0.9923 0.9882
$K_{\rm T} > 0.65$	$\begin{array}{l} H^{h}_{\rm UV} = 0.041 H^{h}_{G} \\ H^{h}_{\rm PAR} = 0.489 H^{h}_{G} \\ H^{h}_{\rm NIR} = 0.470 H^{h}_{G} \end{array}$	0.9792 0.9922 0.9894	$\begin{array}{l} H^{d}_{\rm UV} = 0.040 H^{d}_{\rm G} \\ H^{d}_{\rm PAR} = 0.485 H^{d}_{\rm G} \\ H^{d}_{\rm NIR} = 0.475 H^{d}_{\rm G} \end{array}$	0.9846 0.9942 0.9931
$0 \leqslant K_{\mathrm{T}} \leqslant 1$	$\begin{array}{l} H^{h}_{\rm UV} = 0.042 H^{h}_{\rm G} \\ H^{h}_{\rm PAR} = 0.491 H^{h}_{\rm G} \\ H^{h}_{\rm NIR} = 0.468 H^{h}_{\rm G} \end{array}$	0.9794 0.9978 0.9968	$\begin{array}{l} H^{d}_{\rm UV} = 0.042 H^{d}_{\rm G} \\ H^{d}_{\rm PAR} = 0.489 H^{d}_{\rm G} \\ H^{d}_{\rm NIR} = 0.469 H^{d}_{\rm G} \end{array}$	0.9204 0.9904 0.9870

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### Table 4

Hourly values of ultraviolet radiation fraction of global radiation

Location	$H_{ m UV}^h/H_{ m G}^h$ (%)	Land use	Lat, long (°)	Altitude (m)
Valencia (Spain) [17]	2.7 (August) 3.1 (March)	Urban (near to the ocean)	(39°28′N, 00°22′W)	40
Valencia (Spain) [18]	2.9 (January) 3.5 (October)	Urban (near to the ocean)	(39°28′N, 00°22′W)	40
Athalassa (Cyprus) [22]	2.96 (January) 4.12 (September)	Semi-urban	(35°15′N, 33°40′E)	165
Granada (Spain) [14]	3.0 (cloudless sky) 5.0 (cloudy sky)	Rural	(37°10′N, 3°36′E)	660
Potsdam (Germany) [16]	3–4 (typical values) 5–6 (cloudy sky)	Rural	(55°22′N, 13°5′E)	107
Botucatu (Brazil)	4.0 (clear sky) 4.9 (cloudy sky)	Rural	(22°53′S, 48°26′W)	786
Córdoba (Spain) [12]	3.9(clear sky) 4.5(cloudy sky)	Urban	(37°53′N, 4°46′W)	125
Valencia (Spain) [12]	4.4 (clear sky) 5.6 (cloudy sky)	Urban (near to the ocean)	(39°28′N, 00°22′W)	20
Kwangju (South Korea) [11]	6.9 (November) 8.5 (September)	Urban	(35°10'N, 126°55'E)	90

### Table 5

Daily values of ultraviolet radiation fraction of global radiation

Location	$H^d_{ m UV}/H^d_{ m G}(\%)$	Land use	Lat, long (°)	Altitude (m)
Dhahran (Saudi Arabia) [7]	2.1 (dust) 4.5 (rainy)	Desert	(26°16′N, 50°09′E)	-
Valencia (Spain) [17]	2.2 (August) 2.8 (March)	Urban (near to the ocean)	(39°28'N, 00°22'W)	40
Athalassa (Cyprus) [22]	2.59 (January) 3.69 (September)	Semi-urban	(35° 15′N, 33° 40′E)	165
Valencia (Spain) [18]	2.8 (January) 3.4 (October)	Urban (near to the ocean)	(39°28'N, 00°22'W)	40
Makkah (Saudi Arabia) [8]	2.8-4.3	Urban	(21°30′N, 41°0′E)	-
Cairo (Egypt) [9]	3.3-3.4 (dusty)	Urban	(30°05′N, 31°17′E)	-
Bahtim (Egypt) [9]	2.5–3.2 (clear sky)	Rural	(30°08′N, 31°15′E)	-
Botucatu, Brazil	4.0-4.8	Rural	(22°53'S, 48°26'W)	786
Kuwait (Saudi Arabia) [10]	4.2 (December) 5.2 (August)	Urban	(29°22′N, 47°58′E)	-

### Table 6

Hourly values of photosynthetically active radiation fraction of global radiation

Location	$H_{\rm PAR}^h/H_{\rm G}^h$ (%)	Land use	Lat, long (°)	Altitude (m)
Athalassa (Cyprus) [42]	41.1 (clear sky) 44.0 (cloudy sky)	Semi-rural	(35°15′N, 33°40′E)	165
Athens (Greece) [43]	43.4 (clear sky) 44.2 (intermediate sky) 46.1 (cloudy sky)	Urban	(37°58′N, 23°43′E)	205
Guelph, Ontario (Canada) [31]	45.1 (clear sky) 54.0 (cloudy sky)	Rural	43°33'N, 80°15'W)	334
llorim (Niger) [37]	45.3 (clear sky) 47.0 (cloudy sky)	Rural	(8°32'N, 4°34'E)	375
Athens (Greece) [34]	48.0 (clear sky) 49.0 (cloudy sky)	Urban	(37°58′N, 23°43′E)	107
Botucatu (Brazil)	48.9 (clear sky) 51.0 (cloudy sky)	Rural	(22°53′S, 48°26′W)	786
Dar es Salaam (Tanzania) [29]	51.0 (clear sky) 63.0 (cloudy sky)	Urban	(6°48′S, 39°17′E)	0

For the standard deviation daily values of G, UV, PAR and NIR the variation in terms of the sky condition oscillated between 20% and 40% (Table 2). Considering all the solar radiation compo-

nents, the largest standard deviation (about 40%) occurs for cloudy sky ( $K_T \le 0.35$ ). Sky conditions with  $K_T > 0.35$  present standard deviations varying between 21% and 27% (Table 2).

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#### Table 7

Daily values of photosynthetically active radiation fraction of global radiation

Location	$H_{\mathrm{PAR}}^d/H_{\mathrm{G}}^d$ (%)	Land use	Lat, long (°)	Altitude (m)
Athalassa (Cyprus) [42]	40.8 (clear sky) 42.1 (intermediate sky) 44.0 (overcast)	Semi-rural	(35°15′N, 33°40′E)	165
Lusaka (Zâmbia) [41]	41.9 (clear sky) 46.2 (cloudy sky)	Biomass burning	(15°24′S, 28°18′E)	1154
Llorim (Niger) [37]	44.2 (dry weather) 46.4 (wet weather)	Rural	(8°32'N, 04°34'E)	375
Corvallis, Oregon (USA) [33]	44.3 (clear sky) 44.7 (partially cloudy sky) 48.3 (cloudy sky)	Semi-urban	(44°34′N, 123°14′W)	65.5
Athens (Greece) [34]	46.8 (clear sky) 47.2 (cloudy sky)	Urban	(37°58′N, 23°43′E)	107
Botucatu (Brazil)	48.5 (clear sky) 51.2 (cloudy sky)	Rural	(22°53′S, 48°26′W)	786

In the case of the amplitude – difference between maximum and minimum daily values – the variation in terms of the sky conditions is controlled basically by astronomical effects (Table 2). In the case of Botucatu, the amplitude of all four solar radiation components for clear sky condition is larger than for cloudy condition. However, the largest frequency of clear skies occurs during winter period, when the amplitude of G is smaller, Botucatu has a large frequency of clear sky conditions also during summer [53,60].

The statistical properties of daily values of G, UV, PAR and NIR regardless the sky conditions are close to the ones observed for



**Fig. 6.** (a) Fraction of global solar radiation represented by UV, PAR and NIR components and (b) slope of the best-fit straight line interpolated linear regression passing by the origin. Hourly and daily values observed in Botucatu considering the four sky conditions.

sky condition partially cloudy with predominance of diffuse component of the solar radiation (Table 2).

Fig. 4 shows the daily values of G, UV, PAR and NIR averaged in terms of the sky condition. The largest difference occurs between sky conditions *i* (cloudy) and *ii* (partially cloudy with predominance of diffuse component of solar radiation). In this case, daily values of G, UV, PAR and NIR varied around 100%. The smallest difference occurs between conditions *iii* (partially cloudy with pre-



**Fig. 7.** Comparison between the slope obtained from observed values of UV, PAR and NIR components considering the 4 sky condition categories ( $b_{sky}$ ) and without sky condition consideration ( $b_{without}$ ).  $\Delta b/b = 100\%$  ( $b_{sky} - b_{without}$ )/ $b_{without}$ .



**Fig. 8.** Coefficient of determination  $(R^2)$  of modeled values of UV, PAR and NIR components considering the 4 sky conditions. Hourly and daily values observed in Botucatu during 2005.

dominance of direct component of solar radiation) and iv (clear sky), varying from 0% (UV) to about 5% (NIR).

### 3.4. Linear regression modeling

Fig. 5 indicates the correlation between the solar component radiations and G for hourly and daily values, considering sky conditions defined by the four clearness index intervals described in Section 4. Table 3 shows the linear expressions and their respective determination coefficients for hourly and daily values. For reference, it was included in Table 3 the linear expressions and determination coefficients for hourly and daily values estimated not considering sky conditions. These expressions are valid for Botucatu for  $0 \leq K_T \leq 1$ .

The slope of the best-fit straight line interpolated by linear regression varied as a function of the sky cover. The slopes  $b_{\mu\nu}^{h}$ 

and  $b_{PAR}^h$  decrease with  $K_T$ , while  $b_{NIR}^h$  increases with  $K_T$ . Similar

behavior is observed for daily values (Table 3). The slopes  $b_{UV}^h$  (=0.049),  $b_{UV}^d$  (=0.048),  $b_{PAR}^h$  (=0.510) and  $b_{PAR}^d$  (=0.512) are larger in the interval  $K_T \leq 0.35$  and they decrease as  $K_{\rm T}$  increases. By the other hand, the slopes  $b_{\rm NIR}^h$  (=0.441) and  $b_{\rm NIR}^d$ (=0.440) are smaller in the interval  $K_T \leq 0.35$  and they increase as K<sub>T</sub> increases.

Considering that  $b_{\rm UV}$  is an indicative of the G fraction represented by the UV component, the linear regression indicates that for hourly values  $H_{UV}^h$  under cloudy sky and clear sky represent, respectively, 4.9% and 4.1% of G in Botucatu. These values are in agreement with the literature (Table 4). Similarly, daily values of  $H_{\rm UV}^{\rm d}$  under cloudy sky and clear sky conditions represent, respectively 4.8% and 4.0% of G in Botucatu. Theses values are higher than the values found in most of the literature (Table 5).

### Table 8

Means bias error (MBE), root mean square error (RMSE) and index of agreement (d) estimated from empirical expressions of hourly and daily values of UV, PAR and NIR in terms of G considering the four sky intervals of K<sub>T</sub>. Values observed in Botucatu during 2005

Clearness index interval	Radiation component	Hourly values	Hourly values			Daily values		
		MBE (%)	RMSE (%)	d	MBE (%)	RMSE (%)	d	
$K_{\rm T} \leqslant 0.35$	UV	-3.18	10.36	0.9942	-1.89	7.36	0.9897	
	PAR	-1.72	5.21	0.9986	-1.62	2.95	0.9985	
	NIR	2.45	7.15	0.9975	1.93	3.68	0.9979	
$0.35 < K_{\rm T} \leqslant 0.55$	UV	0.15	8.22	0.9916	-4.80	6.82	0.9929	
	PAR	-0.36	3.30	0.9986	-1.19	2.27	0.9993	
	NIR	0.38	4.09	0.9977	1.80	3.00	0.9989	
$0.55 < K_{\mathrm{T}} \leqslant 0.65$	UV	1.15	8.74	0.9848	-2.12	6.87	0.9872	
	PAR	-0.23	3.35	0.9974	0.05	2.20	0.9989	
	NIR	0.14	4.02	0.9958	0.13	2.68	0.9985	
$K_{\rm T} > 0.65$	UV	0.95	6.27	0.9878	0.09	4.03	0.9942	
	PAR	-0.08	3.97	0.9944	0.20	2.01	0.9986	
	NIR	0.00	4.38	0.9925	-0.21	2.20	0.9985	



Fig. 9. (a) MBE, (b) RMSE and (c) index of agreement as a function of the sky conditions for hourly and daily values of solar radiation.

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In the case of the  $b_{PAR}$ , for Botucatu, the linear regression indicates that under cloudy sky and clear sky the hourly values of  $H_{PAR}^{h}$  represent, respectively, 51% and 48.9% of  $H_{G}^{d}$  and the daily values of  $H_{PAR}^{d}$  represent, respectively, 51.2% and 48.5% of  $H_{G}^{d}$ . The Botucatu values are higher than the values found in most of the literature (Tables 6 and 7).

Fig. 6 shows the matching between the fraction (Fig. 6a) and the slope (Fig. 6b) in the case of hourly and daily values, considering the four intervals of sky conditions.

Comparing the slopes for hourly and daily values considering sky conditions (Fig. 7 and Table 3) and regardless sky conditions (Tables 4 and 6), it is possible to infer that the best agreement is between the expression for cloudy sky condition *iii* (0.55 <  $K_T \leq 0.65$ ). This result confirmed the predominance of cloudy sky condition *iii* on the local climate of Botucatu indicated by the similarity of the statistical properties of G, UV, PAR and NIR (Sections 3.2 and 3.3).

According to Fig. 7, the largest discrepancy is observed for the hourly UV component in cloudy sky condition (condition *i*). In this case, the UV radiation difference is 18% (Fig. 7).

The model developed without considering sky conditions perform better for PAR and NIR with the difference within [8%].

The coefficient of determination ( $R^2$ ) for the hourly (daily) value expressions of UV, PAR and NIR components in terms of hourly (daily) values of G are indicated in Table 3 and Fig. 8. Similarly, to the hourly values, the expressions for PAR show the largest coefficient of determination and for UV the smallest. Usually they are equal or greater than 0.96 for hourly and daily values. The model performed better for clear sky conditions (Fig. 8).

The  $R^2$  obtained for UV component in function of G are of the same order of those obtained by Martinez-Lozano and Casanovas [17], Martinez-Lozano et al. [18], Cañada et al. [12], Ogunjobi and Kim [11] and Jacovides et al. [22].

For PAR component, the  $R^2$  values obtained for Botucatu were also similar to the literature [27,30–31,34–36,39,43].

### 3.5. Validation results

The accuracy of the model's predictions is evaluated through mean bias error (MBE) and root mean square error (RMSE). These statistical indicators are given here as the percentage of the respective mean measured radiant flux component.

The MBE, RMSE and d for the hourly and daily values of UV, PAR and NIR component radiations are indicated in Table 8 and Fig. 9.

In general, the MBE for hourly and daily values were situated between ±5.0% interval, indicating a good performance of hourly and daily models for UV, PAR and NIR. The values of RMSE for hourly and daily values were below 10.4%.

The best performances were obtained using the expressions for daily values of PAR, with RMSE varying from 2.01% for clear sky ( $K_T^d > 0.65$ ) to 2.95% for cloudy condition ( $K_T^d \leq 0.35$ ) and for hourly values of PAR, with 3.3% for partially cloudy with predominance of diffuse solar radiation ( $0.35 < K_T^h \leq 0.55$ ) to 5.21% for cloudy condition ( $K_T^h \leq 0.35$ ).

The modeled daily values of NIR presented values of RMSE varying from 2.2% under clear sky conditions to 3.68% under cloudy conditions. The RMSE for hourly values of NIR varied from 4.02% for partially cloudy with predominance of direct component of the solar radiation to 7.15% for cloudy conditions.

The largest values of RMSE were obtained for the modeled daily and hourly values of UV.

The index of agreement were systematically superior to 0.98 (Fig. 9c) indicating the good agreement between modeled and observed daily and hourly values of UV, PAR and NIR.

In general, the indexes of agreement for daily values were larger than for hourly values. The hourly values performed better than daily values only under cloudy conditions. The PAR showed slightly larger indexes of agreement for hourly and daily values than the NIR and UV components in the four sky conditions intervals.

### 4. Conclusions

In this work, expressions to predict daily and hourly values of UV, PAR and NIR components of solar radiation at the surface in terms of global solar radiation also measured at the surface were developed for four classes of sky conditions defined objectively in terms of the clearness index.

The sky condition classes were developed using hourly values of global, diffuse and direct solar radiation, at the surface, block-averaged in terms of clearness index ( $K_T$ ). It was considered continuous measurement of these parameters in Botucatu between 1995 and 2003.

Hourly and daily values of UV, PAR and NIR are linearly correlated with hourly and daily values of global solar radiation measured at the surface using observations carried out between 2001 and 2004. A set of linear regression expressions, with zero intercept, were derived in terms of four classes of sky conditions.

The set of equations derived for Botucatu indicate that sky conditions, cloudy cover, it is only important for UV component. PAR and NIR components of solar radiation at the surface are less dependent of the cloudy cover.

Using hourly and daily values observed in 2005, the linear regression model performances were analyzed in terms of determination coefficient. In general, all linear regression expressions performed well, with  $R^2$  close to 1. Expressions for hourly values performed better than for daily values of UV, PAR and NIR.

The slopes of the best-fit straight line interpolated by linear regression – equivalent to the global fraction of UV, PAR and NIR – varied as a function of  $K_{\rm T}$  for hourly and daily values.

The validation tests, carried out in terms of index of agreement, *MBE* and *RMSE* indicated that the linear regression models have a good performance for both hourly and daily values.

The expressions obtained for condition *iii* (partially cloudy with predominance of direct component of the solar radiation) match the expressions proposed for all sky conditions. The linear regression models derived to estimate PAR and NIR components may be obtained without sky condition considerations, within a maximum variation of 8%. However, in the case of UV, not taking into consideration the sky condition may cause a discrepancy of up to 18% for hourly values and 15% for daily values.

Considering the sky condition is important for developing linear regression models to estimate UV radiation in terms of G because in the presence of clouds the associated high content of water vapour in the atmosphere alters G more than UV. How the other minor atmospheric constituents, such as aerosol and ozone affect UV, PAR and NIR components at the surface will be investigated in future work.

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